

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.  
Serial No. : 09/924,337  
Filed : August 6, 2001  
Title : SEMICONDUCTOR DEVICE

Art Unit : 2675  
Examiner : Alecia Diane Nelson

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449


Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 892 that accompanied an information disclosure statement filed August 6, 2001. Applicants have provided a PTO Form 1449 listing the references from the Form 892.

Applicants' records show that this information disclosure statement complied with 37 CFR 1.97. Thus, we respectfully request that the Examiner initial and return this form as soon as possible. Note, applicants must pay the issue fee by June 21, 2006, so the Examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: \_\_\_\_\_

4/20/06

  
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40339592.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-211003	Application No. 09/924,337
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Shunpei Yamazaki et al.	
		Filing Date August 6, 2001	Group Art Unit 2675

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,250,931	10/05/93	MISAWA et al.			05/15/1989
	AB	5,818,068	10/06/98	SASAKI et al.			09/21/1995
	AC	5,888,857	03/30/99	ZHANG et al.			06/10/1996
	AD	5,550,070	08/27/96	FUNAI et al.			12/16/1994
	AE	5,959,599	09/28/99	HIRAKATA			11/04/1996
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

# **Notice of References Cited**

Application No.  
**08/997,919**

Applicant(s)

**Yamazaki et al.**

Examiner  
**Alecia Nelson**

Group Art Unit  
**2775**

Page 1 of 1

## **U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,250,931	10/5/93	Misawa et al.	345	206
B	5,818,068	10/6/98	Sasaki et al.	257	59
C	5,888,857	3/30/99	Zhang et al.	438	162
D	5,550,070	8/27/96	Funai et al.	438	486
E	5,959,599	9/28/99	Hirakata	345	92
F					
G					
H					
I					
J					
K					
L					
M					

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
O						
P						
Q						
R						
S						
T						

## **NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
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